

RF Lightwave Coding System for Radar Pulse Compression

5 **Technical Field**

The technical field of the disclosed technology relates to a RF-lightwave system for temporal compression of RF pulsed waveforms. This allows a radar system to have a longer detection range and also improved range resolution.

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Background Information

Most radar systems, especially those that have lower probability of interception (LPI), operate at limited average transmit powers. LPI systems also may involve wideband transmit waveforms (spread spectrum) instead of single-frequency waveforms. In order to increase the detection range of these radar systems, transmit pulses of longer duration, or even continuous (cw) waveforms, are often used. However, the range resolution is reduced as the pulse is lengthened. Pulse compression techniques are available that sub-divide the pulse into a number of shorter intervals in which the waveform frequency or phase is coded in a way that makes those intervals distinct. The radar return waveform is processed in such a way that the various intervals are overlaid in time to create a much shorter effective pulse of higher energy. For example, many radar systems employ transmit pulses that have a duration of 10 to 500 microseconds. In comparison, the pulse needs to be compressed to approximately 2 nanoseconds to achieve a range resolution of 1 foot. Pulse compression ratio is defined as the ratio of the transmit pulse duration and the sub-divided pulse interval. Thus, there is a desire to achieve large pulse compression ratios since that improves the processing gain of the radar system.

Phase coding is one way to achieve large pulse-compression ratios and is used in many radar systems. Presently, phase coding has only been used for narrow-band radar systems, partly because of the difficulty of generating and processing wideband waveforms by electronic means.

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The RF-lightwave approach disclosed herein is compatible with wideband uncompressed waveforms that may be useful for LPI systems. In fact, this approach can be used with a variety of waveforms. The disclosed approach also can potentially achieve shorter sub-divided pulse intervals, which could lead to larger pulse compression ratios and finer range resolutions or improved processing gain. Because the short sub-divided pulse interval can be achieved, the approach disclosed herein also can be used to compress, by phase coding, individual pulses in the pulse bursts that often are employed in radar systems. Bursts of short pulses have high pulse-repetition frequencies, with each burst separated by longer intervals. This can reduce the range and Doppler ambiguities.

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The disclosed approach preferably combines the benefits of large pulse-compression ratios, short compressed pulses and compatibility with a variety of wideband waveforms.

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Improved range resolution allows the radar system to not only detect the presence of objects but also to identify them by detecting their features. The disclosed approach makes possible the achievement of pulse compression with wideband LPI waveforms.

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The prior art includes electronic methods for pulse compression by phase coding, and a large number of pulse compression phase codes are known as are the radar systems that employ phase-coded pulse compression. The presently disclosed technology makes use of conventional phase codes and likely can also make use of future phase coding techniques as well. Examples of conventional phase codes are discussed in a book chapter on Phase-Coding Techniques by Cohen and Nathanson in Radar Design Principles, 2nd Ed., SciTech, 1999.

Prior art approaches for using phase encoding in radar systems typically involve direct changes of the phase at the microwave carrier frequency. Microwave “magic-tee” transmission line structures provide anti-phase outputs and “hybrids” provide 0 and 90° phase shifts over
5 bandwidths in excess of 20 percent of the carrier frequency. Semiconductor diode switches, which can have switching speeds of a few nanoseconds, are typically used to select the phase. Thus, the sub-divided pulse intervals are at least many nanoseconds in duration. Digital approaches also can be used to generate phase-shifted waveforms. Digital synthesizers, however, are generally limited to frequencies of several hundred megahertz or lower.

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The processing of radar return signals is typically done using analog microwave tapped delay lines or by using digital shift registers. The tapped delay lines can operate at the microwave carrier frequency or at a lower, intermediate frequency. Some prior tapped delay lines operate after the return signal has been down-converted to video frequencies. Typically, lengths of
15 microwave cable or transmission lines are used as the delay lines. The tapped delay-line function also can be accomplished by surface acoustic wave (SAW) devices. For each tapped signal, an appropriate phase shift, using the approaches described in the preceding paragraph, is applied to counteract the phase shift produced at the encoder. The outputs from the various re-shifted taps are then summed together. For high-frequency signals, the microwave implementations of the
20 tapped delay line approaches can limit the cumulative delay (the delay increment times number of taps) because of the attenuation of the delay lines. Also, the phase re-shifts generally cannot be changed quickly. Digital techniques typically involve sampling and quantizing the return signal and then moving that sampled data down a shift register. The sampler and shift register can be clocked at the sub-divided interval. The phases of the data samples in the register are then
25 compared with a template pattern to determine a match. Since only the phase or sign of the data samples are compared, the quantizer can be quite coarse in terms of resolution. The fastest digital samplers are capable of clock rates of several gigahertz.

The presently disclosed technology also preferably makes use of tapped delay line paths, similar to some of the decoding architectures. A new way to accomplish delays for time-delay encoding/decoding, by using switched optical delay lines, is disclosed. A key advantage of the photonic approach for encoding described herein is that the subdivided pulse interval can be fractions of a nanosecond long. This leads to improved range resolution. Likewise, the counteracting time-delay shifts (the time-delay re-shifts) applied to the tapped signals in the decoder can be changed quickly - at speeds in excess of several gigahertz. This can allow the decoder to be reconfigured or adapted rapidly to account for effects such as Doppler shifts from closely spaced targets.

Switched optical delay lines have been used for RF antenna beam forming. Tapped optical delay lines have been used for constructing RF filters as well as for beam forming. It is not believed that there exists any prior use of switched or tapped optical delay lines to construct RF time-delay encoders or decoders for pulse compression.

Switched optical delay lines have been used in the past for phase-shift keying of signals for communications applications. These phase modulators are described by Fukushima, Doi, et al., in articles published in *J. Lightwave Technology*, v. 18, p. 301 (2000) and in *IEEE Photonics Technol. Letters*, v. 11, p. 1036 (1999). The architecture of these prior phase modulators is somewhat similar to the architecture of the time-delay encoders disclosed herein. For these prior phase modulators, however, a single-frequency microwave signal is impressed on the lightwave carrier. In contrast, the time-delay encoder disclosed herein may be used with both single-frequency and wideband RF waveforms.

Brief Description of the presently disclosed Technology

The RF radar transmit and return waveforms are modulated onto lightwave carriers. A RF-phonic encoder and a decoding preprocessor are used to phase-encode the Transmit waveform and partially decode the return signal. The encoder contains switched optical delay-lines to produce the desired RF phase shifts. The decoding preprocessor is based on a tapped optical delay line. The taps can be weighted to accomplish objectives such as reduction of side lobes in the compressed pulse. By using RF-lightwave encoders and decoders, one can achieve shorter compressed pulses and larger pulse-compression ratios than can be obtained using conventional electronic approaches. The presently disclosed technology is applicable to wideband transmit waveforms since it uses switched optical delay lines and, unlike prior approaches, is not restricted to single-frequency waveforms.

Brief Description of the Drawings

Figures 1a and 1b are block diagrams of a RF lightwave time-delay coding system, Figure 1a depicting a phaser encoder while Figure 1b depicts a phase decoder;

Figure 2 illustrates the basic elements and operation of the RF-lightwave encoder;

Figure 3 illustrates an example of an encoder containing directional coupler switches;

Figure 3a depicts the shifting of the phase of a single frequency waveform by steps of $\pm 180^\circ$;

Figure 4a illustrates the operation of a tapped delay line as the decoding preprocessor;

Figure 4b illustrates the decoding of a two-layer concatenated Barker code when the electronic processor uses a tapped-delay line;

Figure 4c illustrates a two-layer concatenation comprising a length 3 basic Baker code that is concatenated according to a length 5 Baker sequence to form a length 15 code;

Figures 5a - 5d illustrate some of the signals occurring in electronic pulse-compression processor;

Figure 6 illustrates an embodiment of a decoding preprocessor that provides a tapped delay line

without suffering from significant attenuation; and

Figure 7 depicts an integrated optic implementation of an encoder and a decoding preprocessor.

Detailed Description

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The RF lightwave time-delay coding system disclosed herein includes a RF-lightwave phase encoder 100 and a phase decoder 200. The phase decoder 200 contains a RF-lightwave time-delay decoding preprocessor 220. Both the encoder 100 and the decoder 200 can have RF inputs and outputs. One or more of their inputs and/or outputs can alternatively be a RF-lightwave port
10 instead of a RF port. For a RF-lightwave port, the signal is in the form of a RF-modulated lightwave carrier. A block diagram of an embodiment of the RF-lightwave phase encoder is depicted by Figure 1a while the phase decoder is depicted by Figure 1b. For RF inputs and outputs, the system also includes optical modulators and photodetectors that transduce the signal from the RF domain into the RF-lightwave domain.

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A phase-code control signal data stream or sequence is supplied via a control input 110 to the RF lightwave encoder 120. The control signal 218 on this control input 110 can be a binary data stream if the phase code is a binary code. The binary data stream could be the phase code itself, amplified to the voltage needed to control the encoder. However, the data stream is normally
20 established by a phase-encoding processor. The phase code can be changed from pulse to pulse. The phase-select control lines 210 for the decoding preprocessor 220 set the phase shifts that are applied to the return signal 240. The sequence of phase shifts that are determined by this set of control lines 210 normally would be an inverse of the phase code. This sequence can be changed to accommodate different phase codes. The photodetector 250 after the decoding preprocessor
25 220 has a single RF output 260 that is a series of short RF sub-pulses. These sub-pulses are supplied to the electronic pulse-compression processor 230 and are used for two different purposes. They indicate the time intervals (clk) during which a code match should be considered by the processor 230. They also contain the partially decoded phase information (data). The

phase of the RF waveform in each sub-pulse is still partially encoded. Examples of the operation and preferred embodiments of the RF-lightwave encoder 120 and decoding preprocessor 220 are described below.

5 The basic elements and operation of the phase encoder 100 are illustrated with reference to Figure 2. The lightwave source 102, optical modulator 104 and photodetector 122 are optional components of the encoder 100, depending on whether the desired inputs and outputs of the encoder are signals in the RF domain or the RF-lightwave domain. The basic elements of the encoder are one or more sets of phase-selector switch structures 108, 116 and at least two optical
10 delay paths 112. The phase-selector switches 108, 116 determine which of the optical delay paths 112 is selected for the signal to undergo. One of the optical delay paths is a reference path. The other paths are longer than the reference path by specific increments that produce successively greater RF phase shifts by having the signal propagate for successively longer durations of time in those paths. The length increments are determined by the approximate
15 frequency of the RF signal and the desired amounts of phase shift. These phase shifts represent different fractions of the approximate period of the RF waveform. For example, a 180° phase shift at 4 GHz requires a time delay of 125 psec. Such a delay can be realized with silica waveguides having lengths of 25 mm. Given the relatively short waveguide lengths needed, the encoder 100 can be implemented with reasonable loss using integrated-optics technologies such as
20 III-V semiconductors or lithium niobate that are capable of rapid switching.

The phase selector switches 108, 116 can be implemented in several ways. In one way, the input signal is divided into all of the delay paths. The delayed signal from only one of those paths is coupled to the output. This approach was used in the prior-art modulators for phase-shift keying
25 (described in the aforementioned articles by Fukushima, Doi, et al.). The on/off path switching can be accomplished by means of optical intensity modulators such as Mach-Zehnder modulators or electro-absorption modulators, both of which are known in the art. Mach-Zehnder modulators have been constructed from III-V semiconductor or lithium niobate materials. Electro-

absorption modulators have been constructed from III-V semiconductor materials. Another implementation makes use of optical-path routing switches such as directional couplers. Such switches typically have one or two inputs and one or two outputs. They direct the light from an input into one of the outputs, according to the level of an electrical control signal. Directional
5 coupler switches are known and have been constructed from III-V semiconductor or lithium niobate materials.

An example of an encoder containing directional coupler switches is illustrated in Figure 3. An encoder 120 that is capable of producing four different phase states is shown. The switch
10 structure 108 at the input is constructed from three 1x2 directional couplers or switches 108-1, 108-2 and 108-3. The switch structure 116 at the output likewise is constructed from three 2x1 directional couplers 116-1, 116-2 and 116-3. The states of these directional couplers 108, 116 are set so that light is routed through the desired delay waveguide 112. The state of a directional coupler can be changed very rapidly, at rates in excess of 10 GHz. These couplers or switches
15 108, 116 are reconfigured at each phase-code interval. It should be noted that any net phase shift between 0° and 360° could be selected by switching of the delay paths. Also, if an input waveform consists of several frequency components, those frequency components need not undergo the same phase shift, although those phase shifts will be produced by the same delay. For example, a signal component at 8 GHz may receive a phase shift of 90° while a component at
20 7 GHz receives a phase shift of 77° . Since the switching can be done so rapidly, the phase-code intervals can be very short. For example, a 10 GHz switching speed corresponds to a phase-code interval of only 100 psec. This means that very short compressed pulses can be achieved. Obviously, the duration of the compressed pulse should be appropriately greater than the period of the wave associated with the approximate frequency of the RF waveform.

25 Phase-code control signals 218 are used to set the states of the switches 108, 116. These signals can have data that change at a rate as high as the phase coding rate. For a binary phase code, only two optical paths are needed. One pair of directional-coupler switches can provide the phase

selection when there are only two possible optical paths. A control signal equivalent to an amplified version of the zero/one phase-code sequence is used to control the states of the switches. A “zero” switches the light through the reference delay path and a “one” switches the light through the phase A delay path. The phase A delay path, in this case, is set to produce
5 some desired phase, typically near 180° , in the RF waveform. A digital processor can be used to generate the desired code sequence. The electrical waveform of the code sequence can then be frequency upconverted, if necessary, to the desired phase coding rate before being applied to the encoder.

10 The desired phase-coded waveform is obtained by selecting different RF phase shifts for each phase-code interval according to a specified phase code sequence ($218_1, 218_2, \dots, 218_{n-1}, 218_n$) supplied to control input 110. Different numbers of possible delay paths and different delay-path lengths can be chosen to accommodate different phase shift formats (e.g., binary or quadrature phase codes) and/or different approximate frequency ranges of the RF waveform (e.g.,
15 2, 8, 16, 35 GHz). This shifting of the phase is illustrated in Figure 3a, for a single-frequency RF signal (using only the reference path and another delay path). Additional delay paths could enable the same encoder to be used for other RF-waveform frequency ranges.

The decoding preprocessor 210 is based on a tapped delay line 212, an embodiment of which is
20 illustrated by Figure 4a. Each time delay interval ΔT of the delay line 212 is equal to the phase-code interval for the radar pulse. The RF radar return signal 240 is first modulated by a modulator 205 onto a lightwave carrier generated by a laser diode 204. The RF-lightwave signal is then fed to the tapped optical delay line 212. Optical-waveguide taps are located after each time delay segment 214 and each diverts a portion of the signal to a set of phase selectors 216, the diverted
25 portions being appropriately delayed copies of the RF lightwave radar return signal. The first tap 224_n is associated with the last digit of the code 218_n , the second tap 224_{n-1} with the second to last digit of the code 218_{n-1} , and so on. The phase selector is preferably similar to the phase

encoder discussed above and illustrated in Figures 2 and 3. For example, in the phase encoder phase A is a short delay (e.g. 0.1 nsec), phase B is a longer delay (e.g. 0.2 nsec) and phase C is a longer still delay (e.g. 0.3 nsec). However, for the phase selector, phase A corresponds to the longest delay (e.g. 0.3 nsec). Phase B is of medium delay (e.g. 0.2 nsec) and phase C is of shorter delay (e.g. 0.1 nsec). Thus, when used in the decoding preprocessor 220, each return phase selector 216 counteracts the time delay applied to that particular phase-code interval by the transmit phase encoder 112. The result of this decoding is that all phase-code intervals undergo the same total time delay when a combination of the transmitter and receiver imposed time delays is considered.

The duration ΔT of the desired time delay and the length of the delay line 214 between taps 224 is determined by the desired compressed-pulse width. For example, a 0.5 nsec compressed-pulse width translates to a waveguide length of 10 cm in silica and 6.8 cm in lithium niobate. This inter-tap delay length limits the number of taps and delay segments that can be integrated on a single substrate. A maximum of 6-13 delay segments may be reasonable, given typical waveguide losses of < 0.1 dB/cm for silica and < 0.2 dB/cm for lithium niobate.

The selection of an appropriate phase code for a given radar application depends on the characteristics of the target to be detected and/or identified. These characteristics include the target's radial velocity (Doppler shift) and the presence of multiple targets or of clutter. For slowly moving targets, binary phase codes such as Barker code (or sequences) are sometimes preferred because they result in compressed pulses that have low temporal side lobes. Although the longest known Barker code has a length of thirteen, phase codes having lengths up to 10,985 have been derived by concatenating or overlaying multiple Barker codes (or other codes), as discussed in the book chapter by Cohen and Nathanson. For example, each higher layer of a combined-Barker code is essentially a Barker coded super-interval of Barker coded intervals. Figure 4c illustrates a two-layer concatenation comprising a length 3 basic Baker code (the first layer) that is concatenated according to a length 5 Baker sequence (the second layer) to form a

length 15 code. This multilayer overlay or concatenation approach is especially suitable for RF-lightwave decoder 200. The decoding preprocessor 220 may be used to compress the basic Barker-coded interval (i.e., the first layer of the code). The partially compressed output of that preprocessor 220 can then be further compressed by an electronic pulse-compression processor 230 (see Figure 1). The data is supplied to the electronic processor 230 at a slower rate than the pulse-code rate. Thus, the electronic processor 230 has sufficient speed to perform the additional phase decoding, using the higher layers of the code. For example, assume that the compressed pulse interval has a one nanosecond duration and that the first-layer code has a length of seven. The data is then supplied to the electronic processor 230 at a rate of 143 MHz, which is compatible with many processors 230 having high dynamic range. By way of an example, see Figure 4b, which illustrates the decoding of a two-layer concatenated Barker code when the electronic processor 230 likewise uses a tapped-delay line format. The function of the combined RF-lightwave preprocessor 220 and the electronic processor 230 can be illustrated as a nested arrangement of the tapped delay lines. It should be noted that Figure 4b provides a functional representation rather than a physical representation of the decoder 220. Functionally, the electronic summing node 222 of the RF-lightwave preprocessor 220 produces an output pulse 260_1 at the occurrence of each code match in a basic (first layer) Barker-coded interval. The sequence of output pulses ($260_1, 260_2, \dots, 260_k$), one for each basic Barker-coded interval, can be illustrated functionally as being produced by a cascade of tapped-delay line preprocessors (e.g. $220_{10}, 220_{20}, \dots, 220_{k0}$). Note that those output pulses are actually preferably produced, at different times, by the same physical preprocessor 220 as opposed to by separate processors as functionally depicted by Figure 4b. Each output pulse $260'$ shown in Figure 4b is given, by a phase selector 236, an additional, compensating phase shift $\Delta\theta_1, \dots, \Delta\theta_k$ that is associated with the Barker code of that super-interval (i.e., the second code layer). The time delays applied by those phase selectors are controlled by phase-select control signals 238. The output of phase selectors 236 are then summed together at a summing point 290. A strong pulse results when the phases of those outputs are matched with each other.

The output of summing node 222 is detected by a photodetector and produces the partially compressed RF output 260. A "clk" signal and a "data" signal are derived from output 260. This output 260 is described in more detail below.

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To further describe the decoder 200, some of the signals of the electronic pulse-compression processor 230, are illustrated in Figures 5a - 5d. The "clk" signal (see Fig 5a) is the series of partially compressed pulses supplied by the decoding preprocessor 220. These pulses have the desired compressed-pulse width. There are a number of such "clk" pulses within the duration of the radar return from a single target scatterer. Essentially, one "clk" pulse occurs within each basic Barker-coded interval. The second processor, the electronic processor 230, essentially determines which of these "clk" pulses corresponds to the actual range of the target. The "clk" signals also are expanded in duration (see Figure 5b), typically accompanied by a low pass or temporally integrating filter, to form the "data" inputs for the electronic processor 230. This expansion allows the "data" to be handled more easily by the lower-bandwidth processor. The electronic processor 230 decodes by overlaying the "data" into the appropriate temporal interval, perhaps by using electronic tapped delay lines 252 and compensating for the phase shifts produced by using phase selectors 236, and summing them at a summing node 290 as shown by Figure 4b. The result of this operation is illustrated by Figure 5c. The desired output of the phase decoding processor 200 is the logical "and" of the electronically decoded signal with the "clk" pulse duration (i.e., when the "clk" envelope coincides with an envelope of the decoded "data"), as illustrated by Figure 5d.

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Other types of codes also could be used. For example, some polyphase codes can approximate linear frequency modulation of the transmit waveform. Such codes may have improved performance when the target is moving. The disclosed encoder and the disclosed phase selector in the decoding preprocessor are compatible with polyphase codes. If only binary phase codes are used, any additional delay paths available in the switched encoder and phase selector (in the

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decoding preprocessor) can be employed to match transmit waveforms that are at other frequency ranges. They also can be used to provide slightly different phase shifts that compensate for Doppler shifts in the return signal.

- 5 In general, a tapped delay line suffers from attenuation of the signal, because that signal power is divided among the multiple taps and then recombined. Figure 6 illustrates a decoding preprocessor 220 that provides a tapped delay line 212 without suffering from such attenuation. With this approach, the RF return signal is modulated onto multiple lightwave carriers, which are at different optical wavelengths $\lambda_1, \lambda_2, \dots, \lambda_n$ generated by laser diodes 204₁ - 204_n and combined
- 10 in a wavelength division multiplexer (WDM) 205. Each optical wavelength is associated with a given tap. Light from multiple lasers 204₁ - 204_n is supplied into a single optical modulator 206, to which the radar return signal 240 is applied. The preprocessor 220 makes use of various wavelength dropping filters (WDF) 228 to tap off light from the time delay waveguide 212. Wavelength λ_n undergoes a delay of one time interval ΔT . Wavelength λ_{n-1} undergoes a delay of
- 15 two time delay intervals ($2\Delta T$), and so on. Wavelength λ_1 undergoes all of the time delays. A multi-wavelength combiner (WDM) 232 located at the output end of the taps can combine these time-delayed and phase-adjusted signals without incurring the typical loss of 3 dB per 2:1 combination that is associated with conventional optical waveguide or RF waveguide power combiners. The laser wavelengths can be selected to correspond to commercial
- 20 telecommunications standards so that commercially available WDF and WDM components can be used.

- The entire decoding preprocessor as well as the encoder could be implemented on a common substrate of electro-optic material such as III-V semiconductor or lithium niobate. An
- 25 embodiment of such an integrated optic implementation is illustrated in Figure 7. Additional components that may be needed in the phase-coding system, but are not shown in Figure 7, are

the lightwave sources, optical modulators and photodetectors. These additional components need not be co-located with the integrated optic subsystem shown in Figure 7. The integrated optical implementation illustrated by Figure 7 is most suitable for short compressed pulses and phase codes having a relatively short length (at its first level). As an example, a 0.5 nsec compressed pulse interval corresponds to a lithium niobate waveguide length of 6.8 cm. The length of the phase code (first level) determines the maximum delay line length. For a length seven code at this compressed pulse interval, a maximum delay line length of 47.6 cm would be needed. Silica waveguides of such length have been fabricated on a single substrate and lithium niobate waveguides should be achievable, given the large refractive index difference of such lithium niobate waveguides. The resulting optical losses, approximately 10 dB neglecting the residual loss of the WDF 228 and WDM 232 and the phase selector 116, may still be acceptable for many radar applications. If lower losses are desired, optical fiber segments might be used instead for the delay lines between the taps.

Various phase codes could be used with the disclosed system. The disclosed technology is not restricted to having only particular phase codes. Generally, a larger preprocessor with more taps is needed for longer codes. A combined approach is disclosed herein for processing long phase codes that comprise concatenations of shorter-length codes. This combined approach processes smaller portions of the code (i.e., the shorter-length codes) in the RF-lightwave domain and completes that processing (i.e., of the concatenated combination) in the digital-electronic domain.

The encoder and the decoding preprocessor can be implemented as photonic guided-wave structures. Such structures can be fabricated using conventional photonic-device processing techniques.

Having described this technology in connection with preferred embodiments thereof, modification will now suggest itself to those skilled in the art. As such, the technology is not to be limited to the disclosed embodiments, except as specifically required by the appended claims.